Third Year Examination of the Three Year Degree Course, 2001

(Faculty of Commerce)

BUSINESS ADMINISTRATION

Stock Exchanges and Securities

Time: 3 Hours] [Maximum Marks: 100

Attempt any **five** questions, selecting at least one question from each unit, All questions carry equal marks.

UNIT-I

- Define Stock Market and discuss its advantages and disadvantages.

 5+8+7
- 2. Define Listing and discuss the procedure of listing the securities in brief. 5+15

UNIT-II

- 3. Write short notes on following:
 - (i) Recognition of stock exchange

6 ^{2/3} 6 ^{2/3}

(ii) Cancellation of recognition to stock exchanges.

(iii) Power of stock exchange to make bye laws.

- 6 ^{2/3}
- 4. Discuss in brief the organisation and functions of SEBI.

UNIT-III

5. Write short notes on any **two**:

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- (i) Dealer
- (ii) Taravaniwala
- (iii) Types of Mutual Funds
- (iv) Regulation of Mutual Funds.
- 6. Describe in brief the restrictions on transfer of shares. 20

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UNIT-IV

- 7. Discuss in brief the modes of Government borrowings. 12+8
- 8. Define Government Securities and discuss their characteristics and types. 5+9+6

UNIT-V

- 9. What is Fundamental Analysis? Discuss in brief Economics Analysis.
- 10. Write notes on following:
 - (i) Techniques of Economics Forecasting
 - (ii) Types of Risks.